

DATE: January 8, 2007

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Mailing or Transmission

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or electronically transmitted to the U.S. Patent and Trademark Office on the date shown below.

/Leslie A. Van Leeuwen Reg. No. 42,196/ January 8, 2007
Leslie A. Van Leeuwen Reg. No. 42,196 Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

For: System and Method for Resource Reduction Financial Impact Analysis

Enclosed are:

- X Information Disclosure Statement;
- X Modified form 1449 listing 3 references;
- X copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- X This IDS is being filed pursuant to CFR § 1.97(b), therefore no fee as set forth in § 1.17(p) is believed to be due. In addition, if an Office Action has been mailed, then this IDS is being filed pursuant to CFR § 1.97(c) as, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447.
- X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By /Leslie A. Van Leeuwen Reg. No. 42,196/
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	§ Group Art Unit: 2164
Calderaro, et. al.	§
Serial No.: 10/042,439	§
Filed: January 8, 2002	§
Title: <u>System and Method for</u>	§ Intellectual Property Law Dept.
<u>Resource Reduction Financial</u>	§ 11400 Burnet Road
<u>Impact Analysis</u>	§ Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

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In Place of FORM PTO-1449 (Modified)

Serial No. **10/042,439**

Applicant: **Calderaro**

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

Filing Date: **1/8/2002**

Group: **2164**

Atty. Docket No. **AUS920010788US1**

U. S. PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Classification Class / Subclass</u>	<u>Filing Date if Appropriate</u>
_____	AA				
_____	AB				
_____	AC				
_____	AD				
_____	AE				
_____	AF				
_____	AG				
_____	AH				
_____	AI				
_____	AJ				
_____	AK				
_____	AL				

FOREIGN PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Classification</u>	<u>Translation Yes No</u>
_____	AM	WO 9526535 A1	10-1995	PCT	G06F 17/60
_____	AN				
_____	AO				
_____	AP				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<u>Examiner Initial</u>	
_____	AQ DeWitt, "The Structural Consequences of Downsizing," 2/1993, Organizational Science, Vol. 4, No. 1, pp. 30-40.
_____	AR Davidson, "Early Retirement Programs and Firm Performance," 12/1996, Academy of Management Journal, vol. 39, No. 4, pp. 970-984.
_____	AS
_____	AT
_____	AU

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.